# IAP12 Rec'd PCT/PTO 11 DEC 2007

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Noriaki FUJII, et al.

Attention: Applications Division

Serial Number: 10/585,634

Group Art Unit: 3748

Filed: July 11, 2006

P.T.O. Confirmation No.: 8525

For:

**ENGINE VALVE OPERATING SYSTEM** 

# REQUEST FOR CORRECTED FILING RECEIPT AND NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.495

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Date: December 11, 2007

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the 371(c) date is incorrect on both papers and the Assignment For Published Patent Application on the Filing Receipt is incorrect. Copies of the postcard dated May 4, 2007, the PAIR page and the assignment are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt and the Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.495 with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

Mel R. Quintos Attorney for Applicants Reg. No. 31,898

MRQ/jaz

Atty. Docket No. **060509** Suite 400 1420 K Street, N.W. Washington, D.C. 20005 (202) 659-2930 23850

PATENT & TRADEMARK OFFICE

Enclosures:

- (1) Official Filing Receipt
- (2) Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.495
- (3) Postcard dated May 4, 2007
- (4) PAIR page for S.N. 10/585,634
- (5) Assignment



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

FILING OR 371(c) ART UNIT FIL FEE REC'D APPL NO. ATTY.DOCKET NO **TOT CLMS** IND CLMS DATE

10/585,634

93/08/2007

3748

1030

060509

05/04/2007

12

23850

KRATZ, QUINTOS & HANSON, LLP 1420 K Street, N.W. Suite 400

WASHINGTON, DC 20005



**CONFIRMATION NO. 8525** 

FILING RECEIPT

\*OC000000025754279\*

Date Mailed: 09/12/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Noriaki Fujii, Saitama, JAPAN; Katsunori Nakamura, Saitama, JAPAN; Akiyuki Yonekawa, Saitama, JAPAN;

**Assignment For Published Patent Application** 

HONDA MOTOR CO., LTD., TOKYO, JAPAN & APAN

Please deletes

Power of Attorney: The patent practitioners associated with Customer Number 23850.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/00293 01/13/2005

**Foreign Applications** 

JAPAN 2004-009395 01/16/2004 JAPAN 2004-350752 12/03/2004

If Required, Foreign Filing License Granted: 09/10/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/585,634** 

Projected Publication Date: 12/20/2007

Non-Publication Request: No

Early Publication Request: No

Title

**Engine Valve Operating System** 

**Preliminary Class** 

123

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO. 060509

10/585,634

Noriaki Fujii

INTERNATIONAL APPLICATION NO.

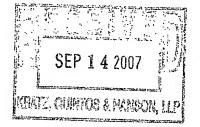
PCT/JP05/00293

I.A. FILING DATE PRIORITY DATE

01/13/2005

01/16/2004

23850 KRATZ, QUINTOS & HANSON, LLP 1420 K Street, N.W. Suite 400 WASHINGTON, DC 20005



**CONFIRMATION NO. 8525** 371 ACCEPTANCE LETTER



\*OC000000025754280\*

Date Mailed: 09/12/2007

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

5005 140 120

05/04/2007

-03/08/2007

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 07/11/2006
- Copy of the International Search Report filed on 07/11/2006
- Preliminary Amendments filed on 07/11/2006
- Information Disclosure Statements filed on 07/11/2006
- Oath or Declaration filed on 03/08/2007
- Request for Immediate Examination filed on 07/11/2006
- U.S. Basic National Fees filed on 07/11/2006
- Priority Documents filed on 07/11/2006
- Power of Attorney filed on 07/11/2006
- Specification filed on 07/11/2006
- Claims filed on 07/11/2006

- Abstracts filed on 07/11/2006
- Drawings filed on 07/11/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DEBORAH D WILLIAMS Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

CARD NO: 25144

U.S. Patent Application Serial No: 10/585,634

Docket No: 060509

Filed: 07/11/06

Patent Number: Issued: Applicant(s): FUJII, Noriaki, et al.

Papers filed herewith on: 05/04/07

Other: Response to Notification of Missing Requirements; w/

Declaration and Notification.

COMMISSIONER OF PATENTS/

MAY 04 2007

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above-identified case. WLB/RMP

# United States Patent and Trademark Office

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz Alerts | News | Help

Sign-Off Authenticated Session

Other

**Trademarks** 

**Patents** 

Portal Home

(+) Flectronic Filling	Sec	on Ketrievai			•
Patent Application Information	Download Download	9	Order Certified Application As Filed		Order Certified File Wrapper
(PAIR)	10/585,634	Engine Valve Operating System			
<ul> <li>Patent Ownership</li> <li>Fees</li> <li>Supplemental Resources &amp;</li> </ul>	Select New Gase Attorney E	Applications by Application Transaction Image Atlongs Docker Data History What	agerFile Continuity Foreign Mapper Data Priority	Address & Assign Attorney/Agent	ments ( <u>Display</u> ) First References   Pre
Support	<b>Bibliographic Data</b>				
Patent Information	Application Number:	10/585,634	Custom	Customer Number:	23850
Patent Guidance and General Info	Filing or 371 (c) Date: ( ) 08 08 00 07	W08%08%2007	Status:		TSS review complete
(±) Codes, Rules & Manuals	Application Type:	Utility	Status Date:	Date:	10-09-2007
Employee & Office Directories  Resources & Public Notices	Examiner Name:	•	Location:	<b>•</b>	ELECTRONIC
Datout Coarchos	Group Art Unit:	3748	Location	Location Date:	
Patent Official Gazette	Confirmation Number:	8525	Earliest	Earliest Publication No:	1
+ Search Patents & Applications	Attorney Docket Number:	:r: 060509 <u>Update</u>	Earliest	Earliest Publication Date:	•
±  Search Biological Sequences  ±  Control Biological Sequences	Class / Subclass:	123/090.330	Patent I	Patent Number:	•
Copies, Froducts & Services	First Named Inventor:	Noriaki Fujii , Saitama, (JP)	Issue D	Issue Date of Patent:	•
Other <u>Copyrights</u> Trademarks	Title of Invention:	Engine Valve Operating System	ng System		
Policy & Law Reports	If you need help:				

- Information Retrieval (PAIR).
   Send general questions about USPTO programs to the USPTO Contact Center (UCC).
- If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business S

You can suggest USPTO webpages or material you would like featured on this section by E-mail to the webmaster@uspto.gov. While we cannot promise to accommodate all requests, your suggesi and may lead to other improvements on the website.

Home | Site Index | Search | eBusiness | Help | Privacy Policy

## **U.S. ASSIGNMENT**

(Insert ASSIGNEE's Name(s) Address(es)) IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by HONDA MOTOR CO., LTD., a corporation of Japan, of 1-1, Minami-Aoyama 2-chome,

Minato-ku, Tokyo 107-8556 Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled:

(Title of Invention)

**ENGINE VALVE OPERATING SYSTEM** 

(\*If the assignment is being filed after the filing of the application, this section must be completed) for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

\* filed on July 11, 2006, Serial No. 10/585,634.

(Armstrong, Kratz, Quintos, Hanson & Brooks, LLP is hereby authorized to insert the serial code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuation, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

Moriahi Friji	Noriaki FUJII	Mar, 26, 2007
(Signature)	(Type Name)	(Date)
Keetramere nohumure	Katsunori NAKAMURA	Mar. 29.2007
(Signature)	(Type Name)	(Date)
Akiyuhi Yonehawa (Signature)	Akiyuki YONEKAWA	Mar. 27. 2007
(Signature)	(Type Name)	(Date)